

Kripke-Joyal Semantics for Dependent Type Theory¹

YaMCATS 23

Sina Hazratpour

February 2021

¹joint work with Steve Awodey (CMU) and Nicola Gambino (Leeds)

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Review of classical Beth-Kripke semantics

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Given such a model M , formulas of \mathcal{L} can be interpreted at stages of K , relative to an assignment e :

- ▶ $w \Vdash R(t_1, \dots, t_n)[e] \Leftrightarrow (t_1[e], \dots, t_n[e]) \in M_w[R]$.
- ▶ $w \Vdash t_1 = t_2 \Leftrightarrow t_1[e] = t_2[e]$
- ▶ $w \not\Vdash \perp$ for every $w \in K$.
- ▶ $w \Vdash (\varphi \wedge \psi)[e] \Leftrightarrow w \Vdash \varphi[e]$ and $w \Vdash \psi[e]$.
- ▶ $w \Vdash (\varphi \vee \psi)[e] \Leftrightarrow w \Vdash \varphi[e]$ or $w \Vdash \psi[e]$.
- ▶ $w \Vdash (\varphi \rightarrow \psi)[e] \Leftrightarrow$ for all $u \geq w$, if $u \Vdash \varphi[e]$ then $u \Vdash \psi[e]$.
- ▶ $w \Vdash (\forall x)\varphi(x)[e] \Leftrightarrow$ for all $u \geq w$, for all $a \in |M_u|$, $w \Vdash (\varphi[a/x])[e]$.
- ▶ $w \Vdash (\exists x)\varphi(x)[e] \Leftrightarrow$ there exists $a \in |M_w|$ such that $w \Vdash (\varphi[a/x])[e]$.

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Kripke’s Soundness and Completeness Theorems establish that a sentence of \mathcal{L} is provable in intuitionistic predicate logic if and only if it is forced at every stage of every Kripke model.

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Using the soundness and completeness theorems,

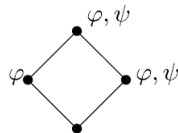
- ▶ we can find simple Kripke models to show that some classically valid formulas are *not* intuitionistically valid. Two examples:

φ : an atomic sentence

$$w \Vdash \varphi \vee \neg\varphi$$

φ, ψ : atomic sentences

$$w \Vdash (\psi \rightarrow \varphi) \rightarrow (\neg\psi \vee \varphi)$$



- ▶ we can prove the disjunction and existence properties of the intuitionistic logic.

Review of classical Kripke-Joyal semantics for toposes

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- ▶ The Kripke–Joyal semantics is in fact a higher order generalization of the well-known Kripke semantic for intuitionistic propositional logic.

Definition

Let \mathcal{E} be an elementary topos. Given a formula $\varphi(x)$ with a free variable x of sort A in $HoL(\Sigma_{\mathcal{E}})$, and a generalized element $\alpha: U \rightarrow A$ in \mathcal{E} , we define

$$U \Vdash \varphi(\alpha) \Leftrightarrow \alpha \text{ factors through the subobject } [\varphi] \rightarrow A.$$

$$\begin{array}{ccccc} & & [\varphi] & \xrightarrow{!} & 1 \\ & \nearrow & \downarrow & \lrcorner & \downarrow \text{true} \\ U & \xrightarrow{\alpha} & X & \xrightarrow{\varphi} & \Omega \end{array}$$

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- ▶ Call U the **stage** of forcing.
- ▶ Write $\mathcal{E} \Vdash \varphi$ if at every stage U and for every generalized element α , we have $U \Vdash \varphi(\alpha)$.

One can then show:

- ▶ $U \Vdash \top(\alpha)$.
- ▶ $U \Vdash \perp(\alpha)$ iff U is the initial object of \mathcal{E} .
- ▶ $U \Vdash (x = x')(\langle \alpha, \alpha' \rangle)$ iff $\alpha: U \rightarrow X$ and $\alpha': U \rightarrow X$ are the same maps in \mathcal{E} .
- ▶ $U \Vdash (\varphi \wedge \psi)(\alpha)$ iff $U \Vdash \varphi(\alpha)$ and $U \Vdash \psi(\alpha)$.
- ▶ $U \Vdash (\varphi \vee \psi)(\alpha)$ iff there are jointly epimorphic arrows $p: V \rightarrow U$ and $q: W \rightarrow U$ such that $V \Vdash \varphi(\alpha \circ p)$ and $W \Vdash \psi(\alpha \circ q)$.
- ▶ $U \Vdash (\varphi \Rightarrow \psi)(\alpha)$ iff for any arrow $f: V \rightarrow U$ such that $V \Vdash \varphi(\alpha \circ f)$ then $V \Vdash \psi(\alpha \circ f)$.
- ▶ $c \Vdash \neg\varphi(\alpha)$ iff for all maps $f: V \rightarrow U$ in \mathcal{E} , $V \not\Vdash \varphi(\alpha \circ f)$.
- ▶ \vdots

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- ▶ So it is enough to consider forcing statements $U \Vdash \varphi(\alpha)$ for representables $U = y c$.

$$c \Vdash (\varphi \vee \psi)(\alpha) \Leftrightarrow c \Vdash \varphi(\alpha) \text{ or } c \Vdash \psi(\alpha)$$

Recall $y c$ is projective & indecomposable.

$$\begin{array}{ccc}
 & & [\varphi] + [\psi] \\
 & \nearrow \text{dashed} & \downarrow \\
 & \xrightarrow{\tilde{\alpha}} & [\varphi] \cup [\psi] \\
 & \searrow \alpha & \downarrow \\
 y c & \xrightarrow{\alpha} & X
 \end{array}$$

Limitations of classical Kripke–Joyal semantics

- ▶ Bounded quantification. We shall overcome this by generalizing Kripke–Joyal semantics to dependent type theory with universes.
- ▶ Equality of terms is extensional and not “up to homotopy”. We will also generalize to homotopy type theory.

Kripke–Joyal semantics for dependent type theory

- ▶ Provability versus proof relevance: the classical Kripke–Joyal semantics is only concerned with the provability of a proposition; it is proof irrelevant. Kripke–Joyal semantics for dependent type theory includes the terms (proofs) in the forcing statements.

Kripke–Joyal semantics for dependent type theory

- ▶ Provability versus proof relevance: the classical Kripke–Joyal semantics is only concerned with the provability of a proposition; it is proof irrelevant. Kripke–Joyal semantics for dependent type theory includes the terms (proofs) in the forcing statements.
- ▶ We want a sound, formal and (*quasi-*) *mechanical* process to relate internal developments (Cohen et al., 2018), (Orton and Pitts, 2018), etc. with the diagrammatic developments (Gambino and Sattler, 2017), (Sattler, 2017), (Awodey, 2018), etc. found in the models of HoTT literature.

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- ▶ We write $\mathcal{S}et$ for the category of sets and functions.
- ▶ We fix a λ -small category \mathcal{C} . We define the Grothendieck topos of presheaves

$$\mathcal{E} = [\mathcal{C}^{\text{op}}, \mathcal{S}et]$$

We write

$$y: \mathcal{C} \rightarrow \mathcal{E}$$

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for the Yoneda embedding.

- ▶ Call a family $p: X \rightarrow \Gamma$ in \mathcal{E} **λ -small** whenever all the fibres $X(c) \rightarrow \Gamma(c)$ are small.

By the assumption of the existence of λ , the category \mathcal{E} admits a **classifier for λ -small families**.

- ▶ We define $\text{Type} \in \mathcal{E}$ by letting

$$\text{Type}(c) = \{A: (\mathcal{C}/c)^{\text{op}} \rightarrow \text{Set}_{\lambda} \mid A \text{ presheaf} \}. \quad (1)$$

- ▶ Similarly, we define $\text{Type}^{\bullet} \in \mathcal{E}$ by letting

$$\text{Type}^{\bullet}(c) = \{A: (\mathcal{C}/c)^{\text{op}} \rightarrow \text{Set}_{\lambda}^{\bullet} \mid A \text{ presheaf} \},$$

- ▶ Composing with the evident forgetful functor $\text{Set}_{\lambda}^{\bullet} \rightarrow \text{Set}_{\lambda}$ we get a natural transformation

$$\pi: \text{Type}^{\bullet} \rightarrow \text{Type}$$

- ▶ The map π is λ -small and it classifies λ -small families in \mathcal{E} .

Following (Awodey, 2018), we get a CwF structure on \mathcal{E} from the universe $\pi: \text{Type}^\bullet \rightarrow \text{Type}$:

The **contexts** Γ are the objects of \mathcal{E} , and the substitutions $\sigma: \Delta \rightarrow \Gamma$ are arbitrary natural transformations.

The **types** A in context Γ are maps $A: \Gamma \rightarrow \text{Type}$.

The **terms** $a: A$ in context Γ are maps $a: \Gamma \rightarrow \text{Type}^\bullet$ with $\pi \circ a = A$.

$$\begin{array}{ccc} & & \text{Type}^\bullet \\ & \nearrow a & \downarrow \pi \\ \Gamma & \xrightarrow{A} & \text{Type} \end{array}$$

The **context extension** of Γ by $A \in \text{Ty}(\Gamma)$ is given by the pullback.

$$\begin{array}{ccc} \Gamma.A & \longrightarrow & \text{Type}^\bullet \\ \downarrow \Gamma & & \downarrow \pi \\ \Gamma & \xrightarrow{A} & \text{Type} \end{array}$$

Given $A \in \text{Type}(\Gamma)$, for a map $\sigma: \Delta \rightarrow \Gamma$ we define $A(\sigma) \in \text{Type}(\Delta)$ by letting

$$A(\sigma) = A \circ \sigma.$$

Given a term $a \in \text{Term}(\Gamma, A)$, for a map $\sigma: \Delta \rightarrow \Gamma$, we define $a(\sigma) \in \text{Term}(\Delta, A(\sigma))$ by letting

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When $\Delta = y_c$: we write $\gamma: c \rightarrow \Gamma$ for $\sigma: \Delta \rightarrow \Gamma$. By the Yoneda lemma, $\gamma \in \Gamma(c)$. For $A \in \text{Type}(\Gamma)$ and $\gamma: y_c \rightarrow \Gamma$, the type $A(\gamma) \in \text{Type}(c)$ is the composite

$$y_c \xrightarrow{\gamma} \Gamma \xrightarrow{A} \text{Type}$$

Also, for $a \in \text{Term}(c, A(\gamma))$ and $f: d \rightarrow c$ in \mathcal{C} , we have $a(\gamma f) \in \text{Term}(d, A(\gamma.f))$.

Definition (Dependent Kripke–Joyal semantics– forcing terms)

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For a context Γ , a type $\Gamma \vdash A$ Type, an object c of \mathcal{C} ,

$$y_c \quad \begin{array}{c} \Gamma.A \\ \downarrow p_A \\ \Gamma \end{array}$$

Definition (Dependent Kripke–Joyal semantics– forcing terms)

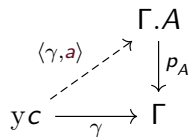
For a context Γ , a type $\Gamma \vdash A$ Type, an object c of \mathcal{C} , and a morphism $\gamma: y_c \rightarrow \Gamma$,

$$\begin{array}{ccc} & & \Gamma.A \\ & & \downarrow p_A \\ y_c & \xrightarrow{\gamma} & \Gamma \end{array}$$

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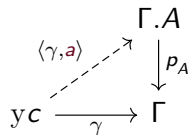
$c \Vdash a : A(\gamma) \Leftrightarrow$ there is a lift $\langle \gamma, a \rangle$ of γ against $p_A: \Gamma.A \rightarrow \Gamma$.



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Proposition

$\Gamma \vdash a : A \Leftrightarrow$ There is a family $(a_\gamma \mid c : \text{an object of } \mathcal{C}, \gamma: yc \rightarrow \Gamma)$ satisfying

$$c \Vdash a_\gamma : A(\gamma)$$

and for every morphism $f: d \rightarrow c$ of \mathcal{C} ,

$$a_\gamma \cdot f = a_{\gamma \cdot f}$$

Proof.

By Yoneda Lemma. □

① (*Monotonicity*) $c \Vdash a : A(\gamma)$ if and only if $d \Vdash a(f) : A(\gamma f)$ for every $f : d \rightarrow c$ in \mathcal{C} .

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- ② If A, B are types in a context Γ and $\Gamma \vdash f : A \rightarrow B$ is a function then whenever $c \Vdash a : A(\gamma)$ we get $c \Vdash f(a) : B(\gamma)$.
- ③ (*Yoneda*) Assume that b is a rule such that for every object c of \mathcal{C} and every $\gamma : y_c \rightarrow \Gamma$

$$c \Vdash a : A(\gamma) \text{ implies } c \Vdash b_a : B(\gamma)$$

such that $b_a.f = b_{a.f}$ for all $f : d \rightarrow c$ in \mathcal{C} . Then there is a function $\Gamma \vdash b : A \rightarrow B$ such that $b_a = b(a)$, for all $\Gamma \vdash a : A$.

Forcing types

Definition

For a type $\Gamma \vdash A \text{ Type}$, an object c of \mathcal{C} , and a morphism $\gamma: yc \rightarrow \Gamma$, we say c **forces** $A \text{ Type at stage } \gamma$, and we write $c \Vdash [A \text{ Type}](\gamma)$, whenever there is a presheaf \tilde{A}_γ and a map $p_\gamma: \tilde{A}_\gamma \rightarrow yc$ such that for every morphism $f: d \rightarrow c$ in \mathcal{C} there is a presheaf $\tilde{A}_{\gamma.f}$ and a choice of map $\tilde{A}_{\gamma.f} \rightarrow \tilde{A}_\gamma$, making a pullback square

$$\begin{array}{ccc} \tilde{A}_{\gamma.f} & \longrightarrow & \tilde{A}_\gamma \\ p_{\gamma.f} \downarrow & \Gamma & \downarrow p_\gamma \\ yd & \xrightarrow{yf} & yc \end{array} \cdot \quad (2)$$

Forcing extensional equality types

Proposition

Given a context Γ ,

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Forcing extensional equality types

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Given a context Γ , a type $\Gamma \vdash A$ Type,

$$\begin{array}{c} \Gamma.A \\ \downarrow \delta \\ A \times_{\Gamma} A \\ \downarrow \\ \Gamma \end{array}$$

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Forcing extensional equality types

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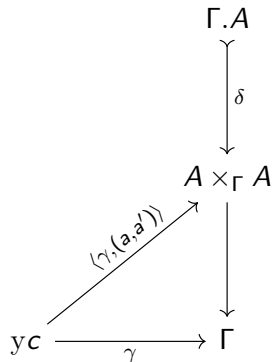
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Forcing extensional equality types

Proposition

Given a context Γ , a type $\Gamma \vdash A$ Type, an object c of \mathcal{C} , a morphism $\gamma: yc \rightarrow \Gamma$, $c \Vdash (a, a') : (A \times A)(\gamma)$



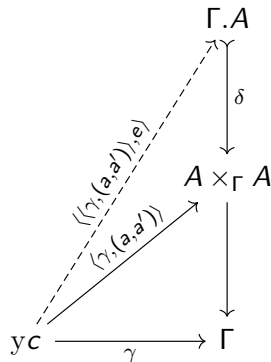
Forcing extensional equality types

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 $c \Vdash (a, a') : (A \times A)(\gamma)$ we have

$$\begin{aligned} c \Vdash e : \text{Eq}_A(a, a')(\gamma) &\Leftrightarrow \\ a, a' \text{ are equal as morphisms in } \mathcal{E} &\Leftrightarrow \\ a, a' \text{ are equal elements of } A(c) . & \end{aligned}$$

The Type Eq_A is interpreted by the diagonal morphism $\delta: A \rightarrow A \times_{\Gamma} A$ over Γ .



Forcing dependent sum types

Proposition

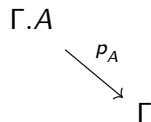
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Forcing dependent sum types

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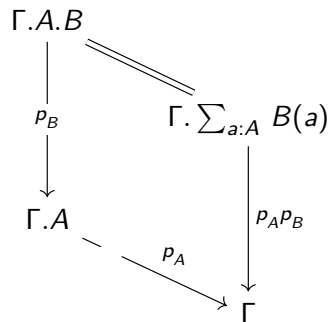
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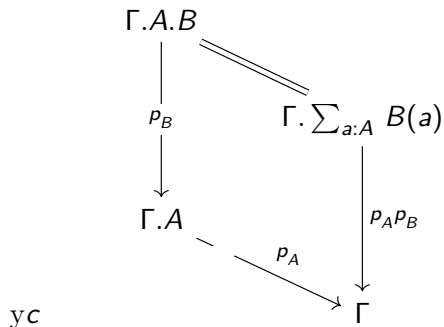
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Forcing dependent sum types

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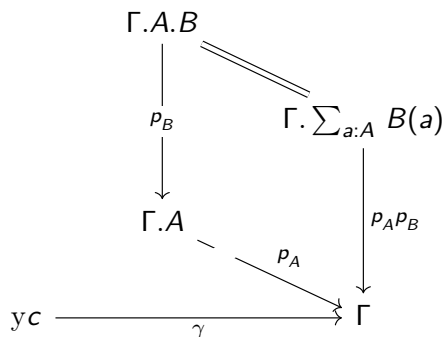
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Forcing dependent sum types

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Given a context Γ , a type $\Gamma \vdash A$ Type, a type $\Gamma, x : A \vdash B$ Type, an object c of \mathcal{C} , and a morphism $\gamma : y_c \rightarrow \Gamma$,



Forcing dependent sum types

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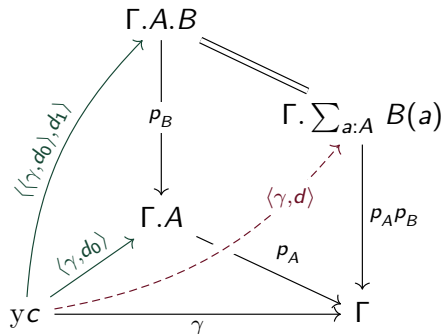
$$c \Vdash d : \left(\sum_{a:A} B(a) \right) (\gamma)$$

iff

$$d = (d_0, d_1)$$

$$c \Vdash d_0 : A(\gamma)$$

$$c \Vdash d_1 : B(\langle \gamma, d_0 \rangle).$$



Forcing dependent product types

Proposition

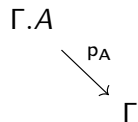
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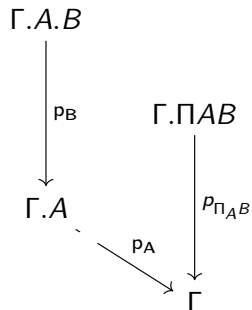
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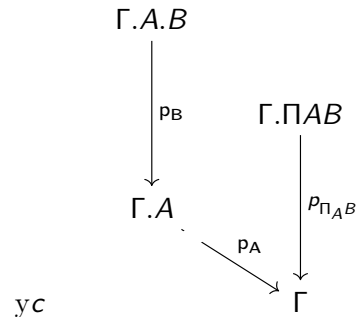
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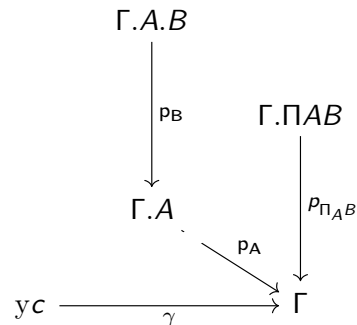
Given a context Γ , a type $\Gamma \vdash A$ Type, a type $\Gamma, x : A \vdash B$ Type, an object c of \mathcal{C} ,



Forcing dependent product types

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Given a context Γ , a type $\Gamma \vdash A$ Type, a type $\Gamma, x : A \vdash B$ Type, an object c of \mathcal{C} , and a morphism $\gamma: yc \rightarrow \Gamma$,



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$$c \Vdash b : \left(\prod_{x:A} B \right) (\gamma)$$

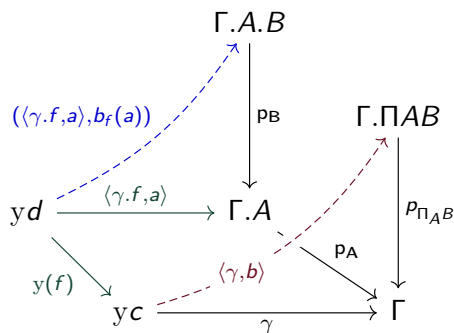
iff there is a function b such for every morphism $f : d \rightarrow c$ in \mathcal{C} , if

$$d \Vdash a : A(\gamma.f)$$

then

$$d \Vdash b_f(a) : B(\langle \gamma.f, a \rangle)$$

and for every $g : d' \rightarrow d$, $b_f(a).g = b_{f \circ g}(a.g)$.



- ▶ We assume one more inaccessible cardinal κ with $\kappa < \lambda$.
- ▶ We call the sets of size strictly less than κ **small**.
- ▶ Write Set_κ for the category of κ -small sets.
- ▶ Exactly as before, we obtain a universe $\pi_{\mathcal{V}}: \mathcal{V}^\bullet \rightarrow \mathcal{V}$: the set $\mathcal{V}(c)$ consists of the presheaves on \mathcal{C}/c whose values are κ -small sets.
- ▶ \mathcal{V} is a λ -small presheaf and hence it admits a classifier v :

$$\begin{array}{ccc}
 & \mathcal{V} & \longrightarrow & \text{Type}^\bullet \\
 & \downarrow \ulcorner & & \downarrow \pi \\
 v: \text{Type} & & & 1 & \xrightarrow{v} & \text{Type}
 \end{array}$$

We have an inclusion $\text{El}: \mathcal{V} \hookrightarrow \text{Type}$, induced by the inclusion of Set_κ into Set_λ , and a pullback diagram

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 \mathcal{V}^\bullet & \longrightarrow & \text{Type}^\bullet \\
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$$\begin{array}{ccc} \mathcal{V}^{\bullet} & \longrightarrow & \text{Type}^{\bullet} \\ \pi_{\mathcal{V}} \downarrow & \ulcorner & \downarrow \pi \\ \mathcal{V} & \xrightarrow{\text{El}} & \text{Type} \end{array}$$

$$\frac{\Gamma \vdash a : v}{\Gamma \vdash \text{El}(a) : \text{Type}}$$

$$\begin{array}{ccccc} \Gamma.\text{El}(a) & \longrightarrow & \mathcal{V}^{\bullet} & \longrightarrow & \text{Type}^{\bullet} \\ \downarrow & \ulcorner & \downarrow & & \downarrow \\ \Gamma & \xrightarrow{a} & \mathcal{V} & \xrightarrow{\text{El}} & \text{Type} \end{array}$$

Proposition

For an object c of \mathcal{C} ,

$$c \Vdash a : v \Leftrightarrow c \Vdash \text{El}(a)\text{Type},$$

$\text{El}(a.f) \equiv \text{El}(a).f$ for every $f : d \rightarrow c$, and

$\text{El}(a) \rightarrow yc$ and $\text{El}(a.f) \rightarrow yd$ (for all $f : d \rightarrow c$) are small.

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$\text{El}(a) \rightarrow yc$ and $\text{El}(a.f) \rightarrow yd$ (for all $f : d \rightarrow c$) are small.

Proposition

For an object c of \mathcal{C} ,

$$c \Vdash [a^\bullet : \mathcal{V}^\bullet] \Leftrightarrow a^\bullet = (a, b) \text{ such that } c \Vdash a : v$$

$$\text{and } c \Vdash b : \text{El}(a)(\text{id}_c)$$

$$\begin{array}{ccc}
 \text{El}(a) & \xrightarrow{q_a} & \mathcal{V}^\bullet \\
 \begin{array}{c} \uparrow \\ b \curvearrowright \\ \downarrow p_a \Gamma \end{array} & & \downarrow p_{\mathcal{V}} \\
 yc & \xrightarrow{a} & \mathcal{V}
 \end{array}$$

The impredicative universe Ω of propositions

- ▶ As usual, a impredicative universe Ω of (small) propositions in \mathcal{E} is defined object-wise by

$$\Omega(c) \triangleq \text{Ob Ob}[(\mathcal{C}/c)^{\text{op}}, \mathbb{2}],$$

where $\mathbb{2}$ is the class of truth values in SET , viewed as a partial order.

- ▶ $\Omega(c)$ is isomorphic to the class of sieves on object c , or equivalently, the class of subobjects of yc .
- ▶ As usual, we write $\text{true}: 1 \rightarrow \Omega$ for the natural transformation whose component at c is given by the maximal sieve of c . We write

$$\begin{array}{ccc} [\varphi] & \longrightarrow & 1 \\ \downarrow \Upsilon & \ulcorner & \downarrow \text{true} \\ yc & \xrightarrow{\varphi} & \Omega \end{array}$$

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By the definition of the Heyting algebra structure of $\text{Sub}(\Gamma)$, for $\Gamma \in \mathcal{P}\text{Shv}(\mathcal{C})$, we have isomorphisms

$$[\top] \cong 1$$

$$[\perp] \cong 0$$

$$[\phi \wedge \psi] \cong [\phi] \times [\psi]$$

$$[\phi \Rightarrow \psi] \cong [\phi] \rightarrow [\psi]$$

$$[(\forall x: A)\phi] \cong (\prod x: A)[\phi]$$

For disjunction, instead, $[\phi \vee \psi]$ arises via the image factorization of the coproduct

$$\begin{array}{ccc} [\phi] + [\psi] & \xrightarrow{\quad \twoheadrightarrow \quad} & [\phi \vee \psi] \\ & \searrow & \swarrow \\ & \Gamma & \end{array}$$

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while the existential quantifier is the image factorization of the dependent sum

$$\begin{array}{ccc} \Sigma_A[\phi] & \xrightarrow{\quad} \twoheadrightarrow & [\exists_A(\phi)] \\ & \searrow & \swarrow \\ & \Gamma & \end{array}$$

There is a canonical map $\iota: \Omega \rightarrow \text{Type}$ which fits into a cartesian square

$$\begin{array}{ccc}
 1 & \xrightarrow{\tilde{*}} & \text{Type}^\bullet \\
 \text{true} \downarrow \ulcorner & & \downarrow \rho_{\text{Type}} \\
 \Omega & \xrightarrow{\iota} & \text{Type}
 \end{array}$$

where $\tilde{*} = (\iota \text{true}, *)$, and $*$ is the unique term of $\text{El}(\iota \text{true}) \cong [\text{true}] \cong 1$.

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 [\varphi] & \longrightarrow & 1 & \longrightarrow & \mathcal{V}^\bullet \\
 \downarrow \ulcorner & & \downarrow \text{true} & & \downarrow \pi \\
 \Gamma & \xrightarrow{\varphi} & \Omega & \xrightarrow{\iota} & \mathcal{V}
 \end{array}$$

Forcing Ω

Theorem

Let $\varphi: \Gamma \rightarrow \Omega$ and $\gamma: c \rightarrow \Gamma$. Then the following are equivalent:

- 1 $c \Vdash \varphi(\gamma)$ in the sense of the standard Kripke-Joyal semantics,
- 2 there exists a (necessarily unique) $a: c \rightarrow \mathcal{V}^\bullet$ such that $c \Vdash a: \iota\varphi(\gamma)$.

$$\begin{array}{ccccccc} & & [\varphi] & \longrightarrow & \mathbf{1} & \longrightarrow & \mathcal{V}^\bullet \\ & \nearrow & \downarrow & \Gamma & \downarrow \top & & \downarrow \pi \\ c & \xrightarrow{\gamma} & \Gamma & \xrightarrow{\varphi} & \Omega & \xrightarrow{\iota} & \mathcal{V} \end{array}$$

Proposition

Suppose $\Gamma.A \vdash \varphi : \Omega$. We have

- 1 $\iota(\forall x : A. \varphi(x)) \equiv \Pi(a, \iota\varphi)$.
- 2 $\|\Sigma(a, \iota\varphi)\| \cong \iota(\exists x : A. \varphi(x))$

- ▶ As in (Orton and Pitts, 2018), we consider a modality $\text{cof} : \Omega \rightarrow \Omega$ satisfying:

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- ▶ The last axiom is called the **principle of dominance**.

- Obtain $m_{\text{cof}} : \text{Cof} \rightarrow \Omega$ as the comprehension subtype; in the internal language

$$\text{Cof} \triangleq \{\varphi \in \Omega \mid \text{cof } \varphi\}$$

$$\begin{array}{ccc}
 \text{Cof} & \longrightarrow & 1 \\
 m_{\text{cof}} \downarrow & \lrcorner & \downarrow \text{true} \\
 \Omega & \xrightarrow{\text{cof}} & \Omega
 \end{array}$$

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- $\text{cof}(\text{true}) = \text{true}$ implies that $\text{true} = m_{\text{Cof}} \circ t$ for a monomorphism $t: 1 \rightarrow \text{Cof}$.

$$\begin{array}{ccccc} 1 & \xrightarrow{t} & \text{Cof} & \longrightarrow & 1 \\ \parallel & \ulcorner & m_{\text{Cof}} \downarrow \ulcorner & & \downarrow \text{true} \\ 1 & \xrightarrow{\text{true}} & \Omega & \xrightarrow{\text{cof}} & \Omega \end{array}$$

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- ▶ Call t the **generic cofibrant proposition**.

Cofibrations

- A monomorphism $m: C \rightarrow Z$ is a **cofibration** if its classifying map $\chi_m: Z \rightarrow \Omega$ factors through $m_{\text{cof}}: \text{Cof} \rightarrow \Omega$.

$$\begin{array}{ccccc} C & \longrightarrow & 1 & \longrightarrow & 1 \\ m \downarrow \lrcorner & & t \downarrow \lrcorner & & \downarrow \text{true} \\ Z & \dashrightarrow & \text{Cof} & \xrightarrow{m_{\text{cof}}} & \Omega \end{array}$$

χ_m

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 C & \longrightarrow & 1 & \longrightarrow & 1 \\
 m \downarrow \lrcorner & & \downarrow \lrcorner & & \downarrow \text{true} \\
 Z & \dashrightarrow & \text{Cof} & \xrightarrow{m_{\text{cof}}} & \Omega \\
 & \searrow \chi_m & & &
 \end{array}$$

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Proposition

$m: C \rightarrow Z$ is a cofibration $\Leftrightarrow \mathcal{C} \Vdash \forall z : Z. \text{cof}(\exists c : C. m(c) = z)$.

Consider the following polynomials

$$1 \xrightarrow{t} \text{Cof}$$

$$1 \xrightarrow{\text{true}} \Omega$$

$$\text{Type}^\bullet \xrightarrow{P_{\text{Type}}} \text{Type}$$

associated polynomial functor

$$\mathcal{E} \xrightarrow{P_t} \mathcal{E}$$

$$\mathcal{E} \xrightarrow{P_{\text{true}}} \mathcal{E}$$

$$\mathcal{E} \xrightarrow{P_{P_{\text{Type}}}} \mathcal{E}$$

where

$$P_t(A) = \sum_{\varphi: \text{Cof}} A^{[\varphi]}$$

$$P_{\text{true}}(A) = \sum_{\varphi: \Omega} A^{[\varphi]}$$

$$P_{P_{\text{Type}}}(A) = \sum_{a: \text{Type}} A^{\text{El}(a)}$$

Because the square

$$\begin{array}{ccc}
 1 & \longrightarrow & 1 \\
 \downarrow \ulcorner & & \downarrow \text{true} \\
 \text{Cof} & \xrightarrow{m_{\text{cof}}} & \Omega
 \end{array}$$

is cartesian, we obtain a cartesian square:

$$\begin{array}{ccc}
 P_t(\text{Cof}) & \xrightarrow{\quad} & P_{\text{true}}(\text{Cof}) \\
 P_t(m_{\text{cof}}) \downarrow \ulcorner & & \downarrow P_{\text{true}}(m_{\text{cof}}) \\
 P_t(\Omega) & \xrightarrow{\quad} & P_{\text{true}}(\Omega)
 \end{array}
 =
 \begin{array}{ccc}
 \sum_{\varphi: \text{Cof}} \text{Cof}^{[\varphi]} & \xrightarrow{\quad} & \sum_{\varphi: \Omega} \text{Cof}^{[\varphi]} \\
 \downarrow \ulcorner & & \downarrow \\
 \sum_{\varphi: \text{Cof}} \Omega^{[\varphi]} & \xrightarrow{\quad} & \sum_{\varphi: \Omega} \Omega^{[\varphi]}
 \end{array}$$

And because

$$\begin{array}{ccc}
 1 & \xrightarrow{\tilde{*}} & \text{Type}^\bullet \\
 \text{true} \downarrow \ulcorner & & \downarrow P_{\text{Type}} \\
 \Omega & \xrightarrow{\iota} & \text{Type}
 \end{array}$$

is cartesian, we obtain a cartesian square:

$$\begin{array}{ccc}
 P_{\text{true}}(\Omega) & \xrightarrow{\quad} & P_{P_{\text{Type}}}(\Omega) \\
 P_{\text{true}(\iota)} \downarrow \ulcorner & & \downarrow P_{P_{\text{Type}}(\iota)} \\
 P_{\text{true}}(\text{Type}) & \xrightarrow{\quad} & P_{P_{\text{Type}}}(\text{Type})
 \end{array}
 =
 \begin{array}{ccc}
 \sum_{\varphi:\Omega} \Omega^{[\varphi]} & \xrightarrow{\quad} & \sum_{a:\text{Type}} \Omega^{\text{El}(a)} \\
 \downarrow \ulcorner & & \downarrow \\
 \sum_{\varphi:\Omega} \text{Type}^{[\varphi]} & \xrightarrow{\quad} & \sum_{a:\text{Type}} \text{Type}^{\text{El}(a)}
 \end{array}$$

$$\begin{array}{ccccc}
 P_t(\text{Cof}) & \xrightarrow{\quad} & P_{\text{true}}(\text{Cof}) & & \\
 \downarrow & \lrcorner & \downarrow & & \\
 P_t(\Omega) & \xrightarrow{\quad} & P_{\text{true}}(\Omega) & \xrightarrow{\quad} & P_{\rho_{\text{Type}}}(\Omega) \\
 & & \downarrow & \lrcorner & \downarrow \\
 & & P_{\text{true}}(\text{Type}) & \xrightarrow{\quad} & P_{\rho_{\text{Type}}}(\text{Type})
 \end{array}$$

Therefore, there is a composite map

$$\sum_{\varphi: \text{Cof}} \text{Cof}^{[\varphi]} = P_t(\text{Cof}) \twoheadrightarrow P_{\text{true}}(\Omega) \twoheadrightarrow P_{\rho_{\text{Type}}}(\text{Type}) = \sum_{a: \text{Type}} \text{Type}^{\text{El}(a)}$$

which takes (φ, ψ) to $(\iota\varphi, \iota\psi)$.

Proposition

$\mathcal{E} \Vdash [\text{dom} : \forall(\varphi, \psi : \Omega). \text{cof } \varphi \Rightarrow (\varphi \Rightarrow \text{cof } \psi) \Rightarrow \text{cof}(\varphi \wedge \psi)] \Leftrightarrow$
there is a lift dom of Σ making the square commute.

$$\begin{array}{ccc}
 P_t(\text{Cof}) & \overset{\text{dom}}{\dashrightarrow} & \text{Cof} \\
 \downarrow & & \downarrow \iota \\
 P_{\rho_{\text{Type}}}(\text{Type}) & \xrightarrow{\Sigma} & \text{Type}
 \end{array}$$

- Note that $\Sigma : P_{\rho_{\text{Type}}}(\text{Type}) \rightarrow (\text{Type})$ in above is the Natural Model (resp. CwF) interpretation of the \sum type-former following (Awodey, 2018).

Proposition

For $\varphi : \mathbf{Cof}$ and $\psi : [\varphi] \rightarrow \mathbf{Cof}$, the following statements hold:

- (i) $\text{dom}(\mathbf{t}, \varphi) = \varphi = \text{dom}(\varphi, \mathbf{t})$.
- (ii) $\text{dom}(\text{dom}(\varphi, \psi), \theta) = \text{dom}(\varphi, \text{dom}(\psi, \theta))$.
- (iii) $[\text{dom}(\varphi, \psi)] \equiv \sum_{x: [\varphi]} [\psi(x)]$.

Proof.

For (i), note that $\iota(\mathbf{t}) = \text{code}(\mathbf{1})$ where $\mathbf{1}$ is the terminal type. Since $\sum_{*: \mathbf{1}} \varphi(*) = \iota\varphi$ and ι is monic, $\text{dom}(\mathbf{t}, \varphi) = \varphi$.

For (ii), since $\sum_{x: \iota\varphi} \mathbf{t} \cong \text{code}(\mathbf{1})$ and the "exchange rule" of the sum types.

For (iii), observe that

$$[\text{dom}(\varphi, \psi)] \equiv \text{El}\iota(\text{dom}(\varphi, \psi)) \equiv \text{El}(\Sigma(\iota\varphi, \iota\psi)) \equiv \sum_{x: [\varphi]} [\psi(x)] .$$

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Proof.

It suffices to prove that if $m_\varphi: [\varphi] \twoheadrightarrow y\mathcal{C}$ and $m_\psi: [\psi] \twoheadrightarrow [\varphi]$ are cofibrations then so is their composite.

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$c \Vdash \varphi : \text{Cof}$ and $c \Vdash \psi : [\varphi] \rightarrow \text{Cof}$ imply $c \Vdash \text{dom}(\varphi, \psi) : \text{Cof}$

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$c \Vdash \varphi : \text{Cof}$ and $c \Vdash \psi : [\varphi] \rightarrow \text{Cof}$ imply $c \Vdash \text{dom}(\varphi, \psi) : \text{Cof}$

$\text{dom}(\varphi, \psi)$ classifies $m_\varphi \circ m_\psi$ since (i) $[\text{dom}(\varphi, \psi)] \equiv \sum_{x: [\varphi]} [\psi(x)]$, and (ii) $m_\varphi \circ m_\psi$ is the display map of the sum type $\sum_{x: [\varphi]} [\psi(x)]$.

$$\begin{array}{ccccc}
 [\psi] & \longrightarrow & 1 & & \\
 m_\psi \downarrow & \ulcorner & \downarrow t & & \\
 [\varphi] & \xrightarrow[\ulcorner]{\psi} & \text{Cof} & \longrightarrow & 1 \\
 m_\varphi \downarrow & & & & \downarrow t \\
 yc & \xrightarrow{\varphi} & \text{Cof} & & \\
 & \frown & \text{---} & \smile & \\
 & & \text{dom}(\varphi, \psi) & &
 \end{array}$$

The **type of partial elements** of a type A is given by the polynomial functor

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The type of **cofibrant partial elements** of a type A is given by the polynomial functor

$$A^+ = P_{\text{t}}(A) = \sum_{\varphi: \text{Cof}} [\varphi] \rightarrow A.$$

There is a natural map

$$\begin{aligned} \eta: A &\longrightarrow A^+ \\ a &\longmapsto (\text{true}, \lambda * . a : 1 \rightarrow A) \end{aligned}$$

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which fits into the pullback square

$$\begin{array}{ccc} A & \xrightarrow{\eta} & A^+ \\ \downarrow !_A & \lrcorner & \downarrow \text{fst} \\ 1 & \xrightarrow{\tau} & \text{Cof} \end{array} .$$

Proposition ((Awodey, 2018))

The map $\eta_A: A \rightarrow A^+$ is a cofibration and it classifies partial maps with cofibrant domain.

In fact, $\eta: \text{Id} \Rightarrow +$ is cartesian:

$$\begin{array}{ccccc}
 A & \xrightarrow{f} & B & \longrightarrow & 1 \\
 \eta_A \downarrow \ulcorner & & \downarrow \ulcorner \eta_B & & \downarrow \text{t} \\
 A^+ & \xrightarrow{f^+} & B^+ & \longrightarrow & \text{Cof}
 \end{array}$$

The right square & the outer rectangle are cartesian \Rightarrow The left square is cartesian.

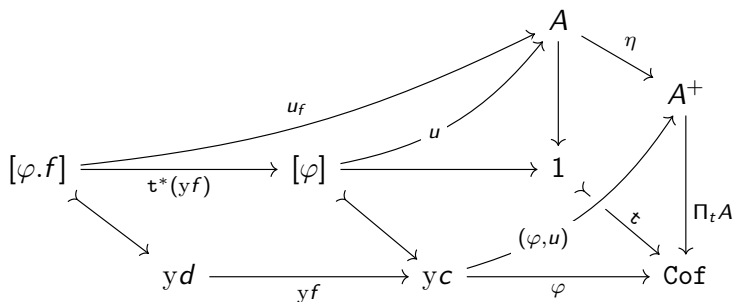
Proposition

$c \Vdash [(\varphi, u) : A^+](\gamma) \Leftrightarrow$

$c \Vdash [\varphi : \text{Cof}](\gamma)$ and for all $f: d \rightarrow c$, if $d \Vdash [x : \varphi.f](\gamma.f)$ then $d \Vdash [u_f(x) : A](\gamma.f)$,
where $u_f(x).g = u_{fg}(x)$, for all $g: d' \rightarrow d$.

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 where $u_f(x).g = u_{fg}(x)$, for all $g : d' \rightarrow d$.



The above gets simplified when $\Gamma = 1$.

$$c \Vdash [(\varphi, u) : A^+] \quad \Leftrightarrow \quad \begin{array}{ccccc} 1 & \longleftarrow & [\varphi] & \xrightarrow{u} & A \\ \downarrow \mathfrak{t} & & \downarrow m & & \downarrow \eta_A \\ \mathbf{Cof} & \xleftarrow{\varphi} & y\mathbf{c} & \xrightarrow{(\varphi, u)} & A^+ \end{array}$$

Monad structure from dominance

Proposition ((Awodey, 2018))

$+: \mathcal{E} \rightarrow \mathcal{E}$ is a (fibred) monad.

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First, we give a category-theoretic proof.

1st Proof.

η_A, η_{A^+} : cofibrations $\Rightarrow \eta_{A^+} \circ \eta_A$: cofibration by dominance.

η_A : cofibrant partial map classifier \Rightarrow there is a unique morphism μ_A classifying the partial map $(\eta_{A^+} \circ \eta_A, \text{id}_A)$.

$$\begin{array}{ccc} A & \xlongequal{\quad} & A \\ \eta_A \downarrow \lrcorner & \lrcorner & \downarrow \eta \\ A^+ & & \\ \eta_{A^+} \downarrow \lrcorner & & \\ A^{++} & \xrightarrow{\quad \mu \quad} & A^+ \end{array}$$

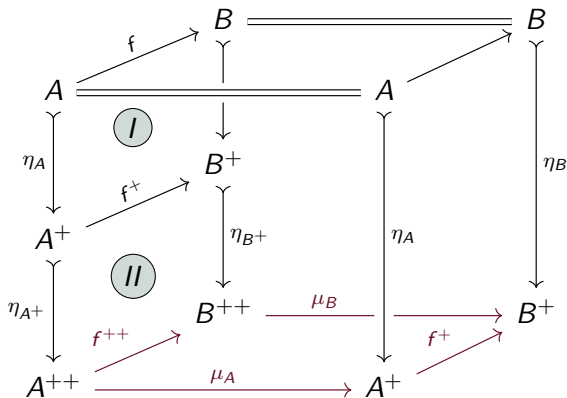
Monad structure from dominance

(1st Proof cont'd.)

μ_A thus obtained is natural in A :

By classifying property of η_B the bottom square commutes since

- (i) all vertical squares are pullbacks (I and II) because η is cartesian),
- (ii) the top square commutes,
- (iii) $\eta_{A^+} \circ \eta_A$: cofibration by dominance.



Monad structure from dominance

(1st Proof cont'd.)

To see that $\mu \circ \eta_{A^+} = \text{id}_{A^+}$, observe that the following is a pullback by an easy diagram chase using the previous diagram and the fact that η is always monic.

$$\begin{array}{ccccc} A & \xlongequal{\quad} & A & & \\ \eta_A \downarrow & \lrcorner & & & \downarrow \eta_A \\ A^+ & \xrightarrow{\eta_{A^+}} & A^{++} & \xrightarrow{\mu} & A^+ \end{array}$$

By the uniqueness of the classifying map of (η_A, id_A) , we have $\mu_A \circ \eta_{A^+} = \text{id}_{A^+}$. By naturality of η ,

$$\eta_{A^+} \circ \eta_A = (\eta_A)^+ \circ \eta_A$$

The same argument above shows

$$\mu_A \circ \eta_{A^+} = \text{id}_{A^+} .$$

Proposition ((Awodey, 2018))

$+: \mathcal{E} \rightarrow \mathcal{E}$ is a (fibred) monad.

Now, we give a proof using Kripke–Joyal semantics.

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2nd Proof. Write $A^{++} = (A^+)^+$.

$c \Vdash (\varphi, u) : A^{++}$

$\Leftrightarrow u = (\psi, u')$, $c \Vdash [\varphi : \text{Cof}]$, and for every $f : c' \rightarrow c$, if $c' \Vdash [x : \varphi.f]$ then $c' \Vdash [\psi_f(x) : \text{Cof}]$, and for every $g : d \rightarrow c'$, if $d \Vdash [y : \psi.g]$ then $d \Vdash [u'_g(y) : A]$ and u' is uniform.

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Now, set $f = \text{id}_c$.

The statement above (after \Leftrightarrow) becomes $u = (\psi, u')$ and $c \Vdash \varphi : \mathbf{Cof}$,

$c \Vdash \psi : [\varphi] \rightarrow \mathbf{Cof}$, $c \Vdash u' : \sum_{x : [\varphi]} [\psi(x)] \rightarrow A$

The latter implies

$$c \Vdash \text{dom}(\varphi, \psi) : \mathbf{CoF} \text{ and } c \Vdash u' : \text{dom}(\varphi, \psi) \rightarrow A.$$

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Also, $\mu \circ \eta_{A^+} = \text{id} = \mu \circ +(\eta_A)$ because $\text{dom}(\varphi, \mathfrak{t}) = \varphi$ and $\text{dom}(\mathfrak{t}, \psi) = \psi$.

$\mu \circ \mu_{A^+} = \mu \circ +(\mu_A)$ because $\text{dom}(\text{dom}(\varphi, \psi), \theta) = \text{dom}(\varphi, \text{dom}(\psi, \theta))$.

For any type A define

$$\mathsf{TFib}(A) := \prod_{\varphi:\mathsf{Cof}} \prod_{u:[\varphi]\rightarrow A} \sum_{a:A} u =_{\varphi} a,$$

where the type $u =_{\varphi} a$ (written $(\varphi, u) \nearrow a$ in Orton and Pitts 2018) is defined

$$(u =_{\varphi} a) := \prod_{p:[\varphi]} \mathsf{Eq}_A(up, a).$$

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Proposition

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$$\begin{array}{ccccc}
 C' & \xrightarrow{f'} & C & \xrightarrow{a} & \Gamma.A \\
 \downarrow \Upsilon & \lrcorner & \downarrow \Upsilon & \nearrow j_C(z, a) & \downarrow p_A \\
 Z' & \xrightarrow{f} & Z & \xrightarrow{z} & \Gamma
 \end{array}$$

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$$j_{C'}(zf, af') = j_C(z, a) \circ f.$$

$$\begin{array}{ccccc}
 C' & \xrightarrow{f'} & C & \xrightarrow{a} & \Gamma.A \\
 \downarrow \Gamma & & \downarrow & \nearrow j_{C'}(zf, af') & \downarrow p_A \\
 Z' & \xrightarrow{f} & Z & \xrightarrow{z} & \Gamma \\
 & & & \nearrow j_C(z, a) &
 \end{array}$$

Lemma

For $\Gamma \vdash A \text{ Type}$, $\gamma: yc \rightarrow \Gamma$ such that

$$c \Vdash a : A(\gamma)$$

$$c \Vdash \varphi : \text{Cof}(\gamma)$$

$$c \Vdash u : ([\varphi] \rightarrow A)(\gamma).$$

then we also have

$$c \Vdash e : (u =_{\varphi} a)(\gamma) \quad \Leftrightarrow \quad \begin{array}{ccc} [\varphi] & \xrightarrow{u} & \Gamma.A \\ \downarrow & \nearrow \langle \gamma, a \rangle & \downarrow p_A \\ yc & \xrightarrow{\gamma} & \Gamma \end{array} \quad \text{commutes,}$$

where

$$(u =_{\varphi} a) := \prod_{x: [\varphi]} \text{Eq}_A(ux, a).$$

Proof of Lemma.

$$\begin{array}{ccc}
 [\varphi] & \xrightarrow{u} & \Gamma.A \\
 \downarrow & \nearrow \langle \gamma, a \rangle & \downarrow p_A \\
 y\mathbf{c} & \xrightarrow{\gamma} & \Gamma
 \end{array}$$

$c \Vdash a : A(\gamma) \Leftrightarrow$ the lower triangle commutes.

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$c \Vdash \varphi : \mathbf{Cof}(\gamma)$ and $c \Vdash (u : [\varphi] \rightarrow A)(\gamma) \Leftrightarrow$ the outer square commutes.

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$c \Vdash e : u =_{\varphi} a(\gamma)$

$\Leftrightarrow c \Vdash e : \prod_{x: [\varphi]} \mathbf{Eq}_A(ux, a)(\gamma)$

\Leftrightarrow for all $f : d \rightarrow c$ in \mathcal{C} , $d \Vdash x : [\varphi](\gamma.f)$ returns $d \Vdash e_f(x) : \mathbf{Eq}_A(ux, a)(\gamma.f)$

\Leftrightarrow the top triangle commutes. QED.

Proof of Theorem.

Suppose $\Gamma \vdash \alpha : \text{TFib}(A)$.

Thus for all $\gamma : y_c \rightarrow \Gamma$, we have $c \Vdash \alpha_\gamma : \text{TFib}(A)(\gamma)$, coherently in γ .

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Note that

$$\begin{aligned} \text{TFib}(A) &= \prod_{\varphi : \text{Cof}} \prod_{u : [\varphi] \rightarrow A} \sum_{a : A} \prod_{x : [\varphi]} \text{Eq}_A(ux, a) \\ &= \prod_{(\varphi, u) : A^+} \sum_{a : A} u =_\varphi a \end{aligned}$$

We thus obtain

$$c \Vdash \alpha_\gamma : \prod_{(\varphi, u) : A^+} \sum_{a : A} (u =_\varphi a)(\gamma).$$

Proof of Theorem (cont'd).

By Kripke–Joyal semantics of \prod and \sum , we have for every $f: d \rightarrow c$ in \mathcal{C} , if

$$d \Vdash (\varphi, u) : A^+(\gamma.f) \quad (3)$$

then

$$d \Vdash \alpha_{\gamma.f}(\varphi, u)^0 : A(\gamma.f) \quad (4)$$

and

$$d \Vdash \alpha_{\gamma.f}(\varphi, u)^1 : (u =_{\varphi} \alpha_{\gamma.f}(\varphi, u)^0)(\gamma.f) \quad (5)$$

and, for any $g: d' \rightarrow d$,

$$\alpha_{\gamma.f}(\varphi, u) \cdot g = \alpha_{(\gamma.fg)}(\varphi[g], u[g]). \quad (6)$$

Unfolding the condition (3) yields the following commutative diagram.

$$\begin{array}{ccc} [\varphi.f] & \xrightarrow{\langle \gamma.f, u_f \rangle} & \Gamma.A \\ \downarrow & & \downarrow p_A \\ yd & \xrightarrow{\gamma.f} & \Gamma \end{array}$$

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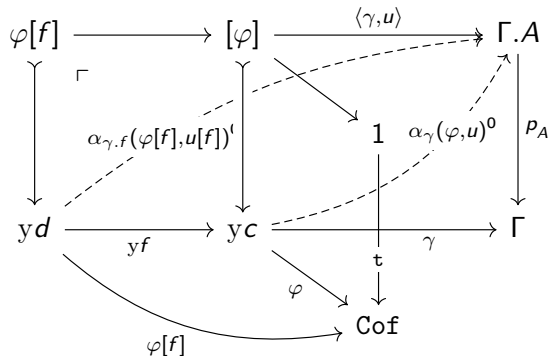
Lemma applied to (4) and (5) yields the following commuting diagram.

$$\begin{array}{ccc}
 \varphi.f & \xrightarrow{\langle \gamma.f, u_f \rangle} & \Gamma.A \\
 \downarrow & \nearrow \alpha_{\gamma.f}(\varphi, u)^0 & \downarrow p_A \\
 yd & \xrightarrow{\gamma.f} & \Gamma
 \end{array}$$

Thus forcing $\text{TFib}(A)$ produces diagonal fillers

$$j_\varphi(\gamma, u) \triangleq \alpha_{\gamma, f}(\varphi, u)^0$$

for each lifting problem as in the right hand square below:



Proof of Theorem (cont'd) – converse argument

If $p_A: \Gamma.A \rightarrow \Gamma$ is a uniform trivial fibration then in particular for every *basic* cofibration $[\varphi] \twoheadrightarrow yC$ and square as on the right below, there is a diagonal filler $j_\varphi(\gamma, u)$ as indicated.

$$\begin{array}{ccccc}
 [\varphi.f] & \longrightarrow & [\varphi] & \xrightarrow{u} & \Gamma.A \\
 \downarrow & & \downarrow & \nearrow j_\varphi(\gamma, u) & \downarrow p_A \\
 yC' & \xrightarrow{yf} & yC & \xrightarrow{\gamma} & \Gamma
 \end{array}$$

Proof of Theorem (cont'd) – converse argument

If $p_A: \Gamma.A \rightarrow \Gamma$ is a uniform trivial fibration then in particular for every *basic* cofibration $[\varphi] \twoheadrightarrow y\mathcal{C}$ and square as on the right below, there is a diagonal filler $j_\varphi(\gamma, u)$ as indicated.

$$\begin{array}{ccccc}
 [\varphi.f] & \longrightarrow & [\varphi] & \xrightarrow{u} & \Gamma.A \\
 \downarrow & & \downarrow & \nearrow j_{\varphi.f}(\gamma.f, u.f) & \downarrow p_A \\
 y\mathcal{C}' & \xrightarrow{yf} & y\mathcal{C} & \xrightarrow{\gamma} & \Gamma
 \end{array}$$

By the lemma, this corresponds to an element $\alpha_\gamma: y\mathcal{C} \rightarrow \text{TFib}(A)$ over $\gamma: y\mathcal{C} \rightarrow \Gamma$,

$$\begin{array}{ccc}
 & \Gamma.\text{TFib}(A) & \\
 \alpha_\gamma \nearrow & \downarrow p_{\text{TFib}(A)} & \\
 y\mathcal{C} & \xrightarrow{\gamma} & \Gamma
 \end{array}$$

Proof of Theorem (cont'd) – converse argument

The uniformity condition says exactly that for all $f : c' \rightarrow c$, the elements α_γ cohere,

$$\alpha_{(\gamma.yf)} = \alpha_\gamma \circ f .$$

Proof of Theorem (cont'd) – converse argument

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By Yoneda for the slice category \mathcal{E}/Γ that there is a term $\Gamma \vdash \alpha : \text{TFib}(A)$. QED.

Next ...

Further use of Kripke–Joyal semantics for dependent type theory in

- ▶ Extending to uniform **fibrations** using an interval I .
- ▶ Showing the fibrancy of path types.
- ▶ Showing the universe of fibrations is itself fibrant.
- ▶ Showing Frobenius property of fibrations.

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The End

Thanks for your attention!